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APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 07/26/2001 09/916,872 Hidemasa Kitagawa NAK1-AZ69R 3423

11/23/2005 21611 SNELL & WILMER LLP 600 ANTON BOULEVARD

**SUITE 1400** COSTA MESA, CA 92626

EXAMINER KINDRED, ALFORD W

ART UNIT

2163 DATE MAILED: 11/23/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | Application No.  | Applicant(s)   |  |
|--|--|--|--|
| Office Action Summary  |  |  |  |
|  | 09/916,872   | KITAGAWA ET AL.                                      |  |
|  | Examiner   | Art Unit   |  |
|  | Alford W. Kindred  | 2172   |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply   |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |  |  |  |
| Status   |  |  |  |
| 1)⊠ Responsive to communication(s) filed on <u>10 November 2003</u> .  |  |  |  |
|  | <u> </u>   |  |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is   |  |  |  |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  |  |  |  |
| Disposition of Claims  |  |  |  |
| 4)  Claim(s) 1-27,29,30,33 and 34 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5)  Claim(s) 1-27,29,30,33 and 34 is/are allowed.  6)  Claim(s) is/are rejected.  7)  Claim(s) is/are objected to.  8)  Claim(s) are subject to restriction and/or election requirement.  Application Papers   |  |  |  |
| _  |  |  |  |
| 9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) access applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Examine  | epted or b) objected to by the I<br>drawing(s) be held in abeyance. Sec<br>ion is required if the drawing(s) is ob | e 37 CFR 1.85(a).<br>lected to. See 37 CFR 1.121(d). |  |
| Priority under 35 U.S.C. § 119   |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>   |  |  |  |
| Attachment(s)  | <b>"</b> □   |  |  |
| <ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> </ol>   | 4) Ll Interview Summary<br>Paper No(s)/Mail Da   |  |  |
| 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date   |  | atent Application (PTO-152)                          |  |

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## **DETAILED ACTION**

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1. This action is responsive to communications: Amendment D, filed on 11/20/03.

2. Since the consent needs to be corrected, instead of "Authorized Signing Officer", the person signing the document should put "Authorized to act on behalf of the Assignee", since the Attorney of the assignee does not appear to be an "Officer" of the company as set forth in MPEP 324. Applicant should refer to the above language (i.e. "Authorized to act on behalf of the Assignee", when correcting the above objection.

The submission with respect to (a) and (b) to establish ownership must be signed by a party authorized to act on behalf of the assignee. See MPEP § 1410.01.

An appropriate paper satisfying the requirements of 37 CFR 3.73 must be submitted in reply to this Office action.

- 3. Since this is a broadening reissue, the original declaration must be signed by the inventors (35 U.S.C 251, 3<sup>rd</sup> paragraph). The declaration signed by the inventors must comply with 37 CFR 1.63 and 1.175. Applicant's "Supplementary Declaration for Reissue . . ." filed 4/28/03 paper #11, does not contain the "error upon which reissue is based . . .", correction is required.
- 3a. Claims 1-27, 29-30, 33 and 34 are rejected under 35 U.S.C 251, 3<sup>rd</sup> paragraph in view of the improper reissue declaration. (see above i.e. number 3).

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4. Recapture does not exist in the instant application because the original claims were never amended. Further the original applicant (#08/865,092) established, in the Response to non-final of the original application (paper #7, 11/25/98), that the examiner did not establish a prima facie case, thus the application was allowed.

## Conclusion

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alford W. Kindred whose telephone number is 703-305-3802. The examiner can normally be reached on Mon-Fri 9:00 am- 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Safet Metjahic can be reached on (703) 305-9790. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC),at 866-217-9197 (toll-free).

Alford W. Kindred Patent Examiner Tech Ctr. 2100